

Internal Audit Progress Report to 31 December 2022

APPENDIX 1

Audit Ref	Audit Description	Approved Plan	Revised Plan	Actual Days to December	Variance	Status	Level of Assurance
Adult Services							
07119/005.bf.bf	Contract Monitoring - Care Homes	1.00	4.53	4.50	0.03	Final Report Issued	Medium
07141/002.bf	Consultancy Review - Safeguarding - Adult Services	1.00	4.75	4.75	0.00	Final Report Issued	N/A
07134/002.bf	Budgetary Control & Financial Management - Adult Services	0.00	8.32	8.50	-0.18	Work in Progress	
07113/003	Out Of Hours	15.00	0.00	0.00	0.00		
07166/001	Transfer of Clients from Children's Services	10.00	12.57	2.60	9.97	Work in Progress	
07106/017	Learning Disabilities Client Accounts	15.00	0.00	0.00	0.00		
07165/001	System Sign Off - Greater Manchester Care Records	6.00	6.28	0.28	6.00	Work in Progress	
03123/010	Direct Payments	10.00	10.00	0.00	10.00	Q4	
07141/003	Control Report - Safeguarding - Adult Services - TASP	0.00	8.45	7.29	1.16	Final Report Issued	N/A
07142/015	Planning & Control - Adult Services	5.00	5.20	2.92	2.28	Ongoing	
07143/015	Advice - Adult Services	5.00	4.90	0.90	4.00	Ongoing	
07145/016	Post Audit Reviews - Adult Services	12.50	0.00	0.00	0.00	Ongoing	
07106/018	Post Audit Review - Learning Disabilities Client Accounts - 27 Neal Avenue, Ashton-under-Lyne	1.50	1.85	0.93	0.92	Work in Progress	
		82.00	66.85	32.67	34.18		
Childrens Services							
11546/001	Direct Payments	10.00	10.00	0.00	10.00	Q4	
07301/003	Safeguarding	15.00	0.07	0.07	0.00		
11208/001	Deprivation Of Liberty Orders (DOLS)	15.00	0.00	0.00	0.00		
11207/001	Missing Children	15.00	15.00	0.00	15.00	Rescheduled	
11541/003	Conference and Review Process	15.00	0.00	0.00	0.00		
11129/002	Transition of Clients From Childrens to Adults Services	10.00	10.76	1.80	8.96	Q4	
11521/024	Supporting Families	15.00	15.00	0.28	14.72	Q4	
11112/004.bf	Fostering	0.50	1.16	1.10	0.06	Final Report Issued	Medium
11505/013	Planning & Control - Childrens	10.00	9.34	3.90	5.44	Ongoing	
11506/018	Advice - Childrens	6.00	5.98	1.12	4.86	Ongoing	

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11506/019	Advice - Childrens - Special Guardianship Orders	0.00	1.00	0.89	0.11	Work in progress	
	Whistleblowing Dec 2022	0.00	0.00	0.40	-0.40	Completed	
11125/010	Post Audit Reviews - Childrens	9.00	0.00	0.00	0.00		
11111/015	Post Audit Review - Children's Homes - Review of Expenditure	0.00	5.80	0.97	4.83	Work in Progress	
11521/025	PAR - Supporting Families	1.00	2.12	2.12	0.00	Completed	
11112/005	PAR - Fostering	0.00	1.50	0.00	1.50	Q4	
11107/004	PAR - Agency Workers control report	0.00	0.00	0.76	-0.76	Work in Progress	
11118/010	PAR - Procurement of Placements for Children	0.00	1.54	0.78	0.76	Work in Progress	
		121.50	79.28	14.19	65.09		
Learning and Schools							
11353/014	Micklehurst Primary	0.00	8.00	12.67	-4.67	Work in Progress	
11310/013.bf	Arlies Primary & Nursery	0.50	0.41	0.40	0.01	Final Report Issued	High
11323/009.bf	Russell Scott Primary	0.50	1.19	1.20	-0.01	Final Report Issued	Medium
11331/005.bf	Corrie Primary & Nursery	0.50	1.17	1.17	0.00	Final Report Issued	Medium
11369/003.bf	St Josephs R C Primary & Nursery	0.50	0.93	0.93	0.00	Final Report Issued	Medium
11393/003.bf	Thomas Ashton Primary & Secondary Centres	3.00	6.55	6.30	0.25	Final Report Issued	Medium
11381/012	Mossley Hollins High - Grant Assurance work	0.00	2.84	2.84	0.00	Completed	N/A
	The Heys	0.00	6.00	0.00	6.00		
11308/003	Gorse Hall Primary & Nursery	6.00	0.00	0.00	0.00	Q4	
11327/009	Livingstone Primary	6.00	20.26	19.23	1.03	Final Report Issued	Low
11329/005	Aldwyn Primary	6.00	6.00	10.68	-4.68	Work in Progress	
11333/003	Holden Clough Primary & Nursery	6.00	6.00	0.00	6.00		
11345/003	Holy Trinity C E Gee Cross	6.00	6.00	0.00	6.00	Q4	
11356/013	St Pauls R C Primary & Nursery Hyde	6.00	0.00	0.00	0.00		
11358/003	St Marys R C Primary Denton	6.00	6.00	2.21	3.79	Q4	
11359/010	St Peters RC Primary & Nursery Stalybridge	6.00	12.00	12.41	-0.41	Draft Report Issued	

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11367/003	St Marys R C Primary & Nursery, Dukinfield	6.00	0.00	0.00	0.00		
11372/008	St Annes R C Primary & Nursery, Audenshaw	6.00	0.00	0.00	0.00		
11386/009	St Damians R C Science College	10.00	0.00	0.00	0.00		
11387/003	St Thomas More R C Maths & Computing College	10.00	0.00	0.00	0.00		
	Hurst Knoll Query	0.00	2.00	3.61	-1.61	Completed	
11455/008	Planning and Control - Schools and Learning	15.00	8.17	4.59	3.58	Ongoing	
11457/012	Advice - Schools and Learning	10.00	8.00	5.33	2.67	Ongoing	
11456/007	Post Audit Review - Schools and Learning	13.00	0.00	0.00	0.00		
11315/006	Post Audit Review - Millbrook Prim & Nursery	1.50	1.77	1.77	0.00	Completed	
11360/010	Post Audit Review - St Raphaels R C Primary	1.50	1.85	1.85	0.00	Completed	
11352/009	PAR - Milton St Johns C E Primary	0.50	1.88	1.88	0.00	Completed	
11362/005	Post Audit Review - Holy Trinity C E Primary	1.50	2.29	2.43	-0.14	Work in progress	
11357/008.bf	PAR - St James R C Primary & Nursery Hattersley Hyde	0.00	0.63	0.63	0.00	Completed	
11366/013.bf	PAR - Canon Burrows C E Primary	0.00	0.64	0.14	0.50	Work in progress	
	PAR - Russell Scott	0.00	1.50	0.27	1.23	Q4	
	PAR - St Josephs	0.00	1.00	0.23	0.77	Q4	
	PAR - Arlies	0.00	1.00	0.12	0.88	Q4	
11501/003	Special Educational Needs and Disability (SEND)	15.00	0.00	0.00	0.00		
		143.00	114.06	92.88	21.18		
Crosscutting							
01171/001	STAR - Contract Monitoring	15.00	0.00	0.00	0.00		
01165/007	Greater Manchester Combined Authority - Grant Assurance Work	10.00	8.40	8.40	0.00		
09101/003	Recruitment & Selection	15.00	0.00	0.00	0.00		
01244/009	Procurement - STAR	15.00	0.00	0.00	0.00		
01137/008	Post Audit Reviews - Cross Cutting	3.00	0.00	0.00	0.00		
04228/001.bf	Domestic Abuse - Contract Monitoring	3.00	18.68	19.40	-0.72	Final Report issued	Medium

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01165/009	Pot Hole grant Control Report	0.00	0.00	1.33	-1.33	Draft Report Issued	
01165/008	Greater Manchester Combined Authority - Grant Assurance Work - Local Transport Capital Block Funding (Pothole Fund)	0.00	4.00	4.69	-0.69	Completed	
		61.00	31.08	33.82	-2.74		
Digital Tameside							
13117/002.bf	Access Control Management	0.50	1.46	1.00	0.46	Draft Report Issued	
06319/001.bf	Physical and Environmental Controls	0.00	0.00	8.00	-8.00	Work in Progress - Salford	
13119/001.bf.bf	Cooperative Network Infrastructure (CNI)	0.00	7.01	9.50	-2.49	Draft Report Issued	
13118/003	Vulnerability Management	5.00	5.00	5.00	0.00	Work in Progress - Salford	
01105/004	ICT Business Continuity and Disaster Recovery	11.00	11.00	0.00	11.00	Q4 - Salford	
13505/001	Payment Security PCI DSS	8.00	8.00	0.00	8.00	Recheduled to 2023/24	
06205/001	Request/Incident Management	10.00	10.00	0.40	9.60	Work in Progress - Salford	
13507/001	Software Licence Management	10.00	10.00	8.00	2.00	Work in Progress	
13504/002	New BACS system sign off	6.00	9.44	8.44	1.00	Completed	
13106/007	Network Management	3.00	7.00	2.35	4.65	Final Report Issued	Medium
06501/014	Planning and Control - Digital Tameside	7.00	4.81	3.29	1.52	Ongoing	
06502/014	Advice and Support - Digital Tameside	1.00	1.32	1.60	-0.28		
06503/014	Post Audit Reviews - Digital Tameside	10.00	0.00	0.00	0.00		
		71.50	75.03	47.58	27.46		
Exchequer Services							
07263/003	Post Payment Assurance - Business Grants	5.00	1.58	0.58	1.00	Work in Progress	
07267/002	Advice - Support for Energy Bills	0.00	3.96	4.24	-0.28	Completed	
07212/011	Debt Recovery	15.00	15.14	0.14	15.00	Q4	
07216/003	Housing Benefits	20.00	0.00	0.00	0.00		
07206/011	NNDR Full System	15.00	15.00	7.99	7.01	Work in Progress	
07204/004.bf	Council Tax Full System	1.00	3.10	4.59	-1.49	Final Report Issued	Low
07257/002.bf	Capita System Review	0.50	0.40	0.40	0.00	Final Report Issued	Medium
07268/001	Test and Trace Support Payments - Assurance	0.00	4.37	4.37	0.00	Completed	
07235/015	Planning & Control - Exchequer & Support Services	5.00	4.85	2.53	2.32	Ongoing	

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07236/016	Advice - Exchequer & Support Services	10.00	7.19	3.50	3.69	Ongoing	
07240/016	Post Audit Reviews - Exchequer & Support Services	7.00	0.00	0.00	0.00		
	2ND PAR - Determination and Recovery of Adult Service Care and Support Charges	0.00	0	0.00	0.00	Q3	
	PAR Capita	0.00	1.50	0.00	1.50	Q3	
		78.50	57.09	28.34	28.75		
Finance							
07132/010	2nd PAR - Determination and Recovery of Adult Service Care and Support Charges	0.00	3.00	0.00	3.00	Q4	
07132/009.bf.bf	PAR - Determination and Recovery of Adult Service Care and Support Charges	0.00	2.26	2.26	0.00	Completed	
03113/004	Treasury Management Workflow Sign Off	0.00	3.64	3.64	0.00	Completed	
03302/018.bf	Control Report - Adult Social Care Infection Control and Testing Grant	1.00	4.54	4.41	0.13	Final Report Issued	N/A
01136/008	Information Governance	15.00	0.00	0.00	0.00		
03108/003	Bank Reconciliation Procedures	10.00	26.10	22.38	3.72	Draft Report Issued	
03109/005	VAT	15.00	15.15	0.70	14.45	Q4	
03112/006	Monitoring of Capital Programme	15.00	0.00	0.00	0.00		
04547/004	George Byron Trust Audit of Accounts	1.00	1.00	0.00	1.00	Q4	
	BACS post implementation	0.00	1.00	0.00	1.00	Q4	
	Cash Procedures	0.00	0.50	0.00	0.50	Q4	
03301/006	Planning & Control - Finance	5.00	5.00	4.37	0.63	Ongoing	
03302/019	Advice and Support - Finance	10.00	9.63	8.30	1.33	Ongoing	
03303/016	Post Audit Reviews - Finance	4.50	0.00	0.00	0.00		
03113/005	Post Audit Review - Treasury Management	1.50	1.50	0.61	0.89	Work in progress	
		78.00	73.31	46.67	26.64		
People and Workforce Development							
09550/001	Review of Payroll Payments	15.00	24.77	18.70	6.07	Work in progress	
09517/004	i TRENT Self Service	10.00	0.00	0.00	0.00		
10312/003	Agresso Upgrade	10.00	4.60	4.95	-0.35	Completed	

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02201/006	Registrars (Births, Deaths & Marriages)	5.00	0.00	0.00	0.00		
02303/008	Members Allowances - Publication	2.00	1.61	1.61	0.00		
10320/002	ATS - Applicant Tracking System - Greater Jobs	2.00	0.28	0.72	-0.44	Work in Progress	
09511/002	Teachers Pension Monthly Contributions Reconciliation	1.00	1.00	0.00	1.00	Q4	
12208/006	Duplicate Payment Exercise	3.00	5.50	2.94	2.56	Ongoing	
09111/012	Car Allowances Annual Review	2.00	2.00	2.21	-0.21	Completed	
02401/017	Planning and Control - People and Workforce Development	5.00	3.16	1.32	1.84	Ongoing	
02402/026	Advice and Support - People and Workforce Development	10.00	9.41	5.57	3.84	Ongoing	
02403/016	Post Audit Reviews - People and Workforce Development	2.00	0.00	0.00	0.00		
	PAR - Procure to Pay	0.50	1.11	1.11	0.00	Completed	
	PAR - Registrars	0.00	1.00	0.84	0.16	Q3	
		67.50	54.43	39.97	14.46		
Policy and Performance							
10326/003	Support to the Transformation Team	20.00	0.00	0.00	0.00	Suspended	
02703/003	Planning and Control - Policy and Performance	5.00	2.67	1.91	0.76	Ongoing	
02704/002	Advice and Support - Policy and Performance	3.00	0.47	0.47	0.00		
		28.00	3.14	2.38	0.76		
Greater Manchester Pension Fund							
08660/002	Contact Centre	10.00	10.00	5.70	4.30	Work in progress - Salford	
08113/009.bf	Debtors	3.00	11.39	12.87	-1.48	Final Report issued	Medium
08663/002.bf	Treasury Management workflow Sign Off	0.00	0.75	0.80	-0.05	Work in Progress	
08609/061	Advice and Support - Pension Payroll - Early Payment - BACS issue	0.00	1.43	1.54	-0.11	Completed	
08607/004	Irregularity Investigations - NFI	5.00	5.00	0.38	4.62	Ongoing	
08202/006	Capital Call Execution Service - Custodian-Local Investments-Agresso	15.00	0.00	0.00	0.00		
08214/001	Fund Manager - UBS	15.00	0.00	0.00	0.00		

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08226/004	Northern LGPS	15.00	15.00	2.22	12.78	Work in Progress	
085604/001.bf	Fund Manager - Sci Beta	3.00	5.01	5.01	0.00	Final Report Issued	High
08211/003.bf	Northern Private Equity Partnership - NPEP	1.00	6.19	6.82	-0.63	Final Report Issued	High
08413/001	Conveyancing Function	15.00	0.00	0.00	0.00		
08506/008	Pension Benefits Payable	15.00	18.00	8.72	9.28	Work in progress	
08507/001	Deferred Pensions	15.00	0.00	0.00	0.00		
08666/001	Pensions Dashboard	10.00	0.00	0.00	0.00		
08665/001	Mc Cloud	15.00	0.00	0.00	0.00		
08538/014	Visits to Contributing Bodies	50.00	12.00	0.00	12.00	Ongoing	
2,877	Visit to Contributing Body - MMU	0.00	10.00	9.34	0.66	Work in progress	
08667/001	IT Service Desk	10.00	0.00	0.00	0.00		
08656/001	Sharepoint/One Drive	6.00	6.00	2.24	3.76	Work in progress - Salford	
08553/001	IT Supplier Management	10.00	10.00	1.00	9.00	Work in progress - Salford	
	MS365		0.00	0.00	0.00		
08654/001	Pensions Regulator - Single Code of Practice	10.00	0.00	0.00	0.00		
08670/001.bf	Control Report - Fraudulent Pension Payment	0.50	1.33	1.33	0.00	Final Report Issued	N/A
08594/005.bf	i Connect - Monthly Data Collection (Post Implementation Review)	1.00	1.83	1.83	0.00	Final Report Issued	Medium
08509/001.bf	AVC's	0.50	5.08	5.41	-0.33	Draft Report Issued	
08542/006.bf	Visits to Contributing Bodies - The Chief Constable of Greater Manchester	0.00	4.24	4.42	-0.18	Final Report Issued	Low
08597/003.bf	Visits to Contributing Bodies - LTE Group (incl Manchester College)	0.00	1.60	1.60	0.00	Final Report Issued	Medium
08529/004.bf	Visit To Contributing Body - Trafford Council	0.00	1.30	1.33	-0.03	Final Report Issued	Medium
08542/007	Chief Constable of Greater Manchester - Audit March 2022 iConnect File	0.00	3.16	3.16	0.00	Completed	
08671/001	Valuation Checks	0.00	1.17	1.20	-0.03	Completed	
08521/007	Employer Audit - Bolton Council	0.00	11.42	12.39	-0.97	Draft Report Issued	
08619/005	Altair - Administration to Payroll Upgrade	10.00	0.28	0.28	0.00	Work in Progress	
	VAT		10.00	0.00	10.00	Q4	
08314/007	Property Management Contracts	15.00	5.06	5.06	0.00	Rescheduled to 2023/24	

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08608/016	Planning and Control - Pensions Global-All Service Units	15.00	15.12	12.96	2.16	Ongoing	
08609/060	Advice and Support - Pensions Global-All Service Units	20.00	17.81	6.92	10.89	Ongoing	
08610/016	Post Audit Reviews - Pensions Global-All Service Units	12.00	0.00	0.00	0.00		
08316/002	PAR - Local Investments	1.50	2.37	2.37	0.00	Completed	
08649/002	PAR - My Pension	0.00	0.50	0.49	0.01	Work in progress	
08537/002	PAR - Cyber Security	0.00	1.00	1.23	-0.23	Work in progress	
08114/004.bf	2nd PAR -Creditors	0.00	1.77	1.77	0.00	Completed	
08663/003	PAR - Treasury Management	1.50	1.50	0.90	0.60	Work in progress	
08202/005.bf	PAR - New Custodian (Northern Trust)	0.00	0.00	0.00	0.00	Completed	
08506/007.bf	PAR - Pension Benefits Payable	0.00	0.30	0.30	0.00	Completed	
08640/003.bf	PAR - Visit to Contributing Body - University of Bolton	0.00	1.32	1.32	0.00	Completed	
08524/012	PAR - Employer Audit - Oldham Metropolitan Borough Council	0.00	2.00	2.00	0.00	Completed	
08104/002.bf	PAR - Ill Health & Early Retirement	0.00	0.83	0.83	0.00	Completed	
1,068	PAR - Visit to Police Constable GM	0.00	1.50	0.49	1.01	Work in progress	
08529/005	PAR - Visit to Trafford Council	0.00	1.50	0.00	1.50	Work in progress	
		300.00	204.77	126.23	78.53		
Place							
04513/004	Estate Management	15.00	0.00	0.00	0.00		
01243/007	Monitoring of the Facilities Management Contract	15.00	0.00	0.00	0.00		
04313/001	Development Projects	15.00	2.14	0.35	1.79	Work in progress	
04339/001	Godley Green Capital Project	15.00	5.56	0.56	5.00	Work in progress	
04323/003	Building Control	15.00	0.00	0.00	0.00		
04338/003	Post Payment Assurance - Business Grants	5.00	1.00	0.00	1.00	Q4	
04222/018	Hattersley Collaboration Agreement	6.00	0.00	0.00	0.00		
01237/004.bf.bf	Capital Project - Control Report - Hyde Community College	0.00	0.71	0.21	0.50	Draft Report Issued	
04550/002	System Sign off - Transys	6.00	0.37	0.37	0.00		

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04539/016	Local Authority Bus Subsidy Grant	2.00	1.90	1.90	0.00	Completed	
09401/003	Health & Safety	15.00	0.00	0.00	0.00		
04153/002	Welfare Rights - System Sign Off	6.00	0.00	0.00	0.00		
04201/006.bf	Homelessness & Advice	6.00	2.06	2.53	-0.47		
04229/001	Homelessness Prevention Fund Grant	0.00	11.11	12.14	-1.03	Completed	
04229/002	Control Report - Homelessness Prevention Fund Grant	0.00	0.00	0.89	-0.89	Draft Report Issued	
07401/001	System Sign Off - Museums Payment System	0.00	8.10	2.24	5.86	Work in Progress	
04230/001	Protect and Vaccinate Grant	0.00	2.90	2.90	0.00	Completed	
04150/001	Pot hole Grant		2.50	0.00	2.50	Work in progress	
	Pot hole Grant Control Report		1.25	0.00	1.25	Work in progress	
04133/015	Planning & Control - Place	10.00	9.97	4.70	5.27	Ongoing	
04317/005	Advice & Support - Place	10.00	4.28	3.32	0.96	Ongoing	
05110/002	Advice - Household Support Fund Round 2	0.00	0.80	0.80	0.00	Work in Progress	
04340/001	Advice - Homes for Ukraine Scheme Payments	0.00	7.65	7.65	0.00	Completed	
04135/013	Post Audit Reviews - Place	11.50	0.00	0.00	0.00		
04201/009	Post Audit Review - ABEN	1.50	1.76	0.87	0.89	Work in Progress	
		154.00	64.06	41.42	22.63		
Population Health							
07444/001	Health Protection	15.00	0.00	0.00	0.00		
07436/011	Prevention and Promotion of Mental Health Grant	0.00	3.90	3.90	0.00	Completed	
07448/001	Contain Outbreak Management Fund - Grant Assurance	0.00	9.31	9.31	0.00	Completed	
07449/001	Weight Management Grants - Adult	0.00	1.96	1.96	0.00	Completed	
07448/002	Contain Outbreak Management Fund - Grant Assurance - Control Report	0.00	3.29	3.23	0.06	Final Report issued	N/A
07450/001	Substance Misuse - Treatment and Recovery Grant Assurance	0.00	5.83	5.93	-0.10	Completed	
07449/002	Weight Management Grant - Children's	0.00	1.55	1.55	0.00	Completed	
07435/010	Planning & Control - Population Health	3.00	2.11	1.43	0.68	Ongoing	
07436/010	Advice - Population Health	1.00	0.59	0.70	-0.11	Ongoing	

Internal Audit Progress Report to 31 December 2022

APPENDIX 1

Audit Ref	Audit Description	Approved Plan	Revised Plan	Actual Days to December	Variance	Status	Level of Assurance
07447/009	Post Audit Reviews - Population Health	3.00	0.28	0.42	-0.14	Ongoing	
07154/004	PAR - Health Visiting Service	0.00	3.51	3.59	-0.08	Completed	
		22.00	32.34	32.01	0.32		

1207.00	855.42	538.16	317.26
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